



Permanent Mission of Saint Lucia to the United Nations
800 Second Avenue, 9th floor
New York, NY 10017
Tel: (212) 697-9360; Fax: (212) 697-4993

3 December 2009

Excellency,

I have the honour to refer to your previous correspondents, through which you reminded the Government of Saint Lucia to provide up-to-date information concerning the implementation of Resolution 1540 (2004).

In this regard, I am pleased to forward herewith in accordance with Security Council resolution 1540 (2004) the most recent information in the form of a matrix by the Government of Saint Lucia.

Accept, Excellency, the assurances of my highest consideration.

A handwritten signature in black ink, appearing to read 'Donatus K. St. Aimee', with a long, sweeping flourish extending to the right.

Donatus K. St. Aimee
Ambassador, Permanent Representative

H.E. Mr. Jorge Urbina
Chairman
Security Council Committee established
pursuant to resolution 1540 (2004)

OP 1 and related matters from OP 5, OP 6, OP 8 (a), (b), (c) and OP 10

Saint Lucia

State:
 Date of Report:

X March 2008

Did you make one of the following statements or is your country a State Party to or Member State of one of the following Conventions, Treaties and Arrangements ?	YES	if YES, indicate relevant information (i.e. signing, accession, ratification, entering into force, etc)	Remarks (information refers to the page of the English version of the report or an official web site)
1 General statement on non-possession of WMD			
2 General statement on commitment to disarmament and non-proliferation			
3 General statement on non-provision of WMD and related materials to non-State actors			
4 Biological Weapons Convention (BWC)	X	Deposit 26 November 1996	
5 Chemical Weapons Convention (CWC)	X	Deposit 09 April 1997	http://disarmament2.un.org/TreatyStatus.nsf
6 Nuclear Non-Proliferation Treaty (NPT)	X	Deposit 28 December 1979	
7 Comprehensive Nuclear Test Ban Treaty (CTBT)	X	Deposit 05 April 2001	

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 and 1673. They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations.

8	Convention on Physical Protection of Nuclear Material (CPPNM)				
9	Hague Code of Conduct (HCOC)				http://disarmament2.un.org/TreatyStatus.nsf
10	Geneva Protocol of 1925	X	Deposit 21 December 1988		
11	International Atomic Energy Agency (IAEA)				
12	Nuclear Weapons Free Zone/ Protocol(s)	X	Treaty of Tlatelolco, deposit 2 June 1995 Outer Space Treaty		
13	Other Conventions/Treaties	X	State Party to 6 international conventions and protocols on counter-terrorism: 1963 Convention on Offences and Certain Other Acts Committed On Board Aircraft 1970 Convention for the Suppression of Unlawful Seizure of Aircraft 1971 Convention for the Suppression of Unlawful Acts Against the Safety of Civil Aviation 1988 Protocol for the Suppression of Unlawful Acts of Violence at Airports Serving International Civil Aviation 1988 Convention for the Suppression of Unlawful Acts against the Safety of Maritime Navigation 1988 Protocol for the Suppression of Unlawful Acts against the Safety of Fixed Platforms Located on the Continental Shelf		http://disarmament2.un.org/TreatyStatus.nsf
14	Other Arrangements	X	Signed the Declaration on Implementation of the World Customs Organization (WCO) 2005 Framework of Standards to Secure and Facilitate Global Trade (SAFE Framework)		WCO SAFE Declaration List
15	Other		The Cabinet of Ministers agreed to sign and ratify the Convention on Corruption and the Palermo Convention.		

OP 2 - Biological Weapons (BW)

Saint Lucia

State: **Saint Lucia** {date}

Date of Report:

Does national legislation exist which prohibits persons or entities to engage in one of the following activities? Can violators be penalized?	National legal framework		Enforcement: civil/criminal penalties and others		Remarks
	YES	if YES, indicate source document of national implementation law	YES	if YES, indicate source document	
1 manufacture/produce	X	Sections 3 and 28 of the Firearms Act, Cap. 14.12.	x	Sections 28 and 52 of Firearms Act, Cap. 14.12.	St Lucia - Evaluation of Progress in Drug Control 2003-2004 (refers to Firearms Act)
2 acquire	X	Sections 3 and 30 of the Firearms Act, Cap. 14.12.		Section 52 of Firearms Act, Cap. 14.12.	
3 possess	X	Sections 3, 22, 26 and 30 of the Firearms Act, Cap. 14.12.	x	Sections 22, 26 and 52 of Firearms Act, Cap. 14.12.	St Lucia - Evaluation of Progress in Drug Control 2003-2004
4 stockpile/store					
5 develop					
6 transport					
7 transfer	X	Section 28 of the Firearms Act, Cap. 14.12.		Section 28 of the Firearms Act, Cap. 14.12.	
8 use	X	Section 27 of the Firearms Act, Cap. 14.12.	x	Section 27 of the Firearms Act, Cap. 14.12.	St Lucia - Evaluation of Progress in Drug Control 2003-2004
9 participate as an accomplice in a.m. activities	X	Money Laundering (Prevention) Bill currently before Parliament.			
10 assist in a.m. activities	X	Money Laundering (Prevention) Act			
11 finance a.m. activities	X	1. Anti-Terrorism Act 36 of 2006 2. Money Laundering (Prevention) (Amendment) Act covers Firearms offences as a predicate offence. 3. Financial Intelligence Unit created in 2003	x	1. Anti-Terrorism Act 36 of 2006 2. Money Laundering (Prevention) (Amendment) Act covers Firearms offences as a predicate offence. 3. Financial Intelligence Unit created in 2003	Copy of 2004 Money Laundering (Prevention) Act http://www.cfattf.org/

12	a.m. activities related to means of delivery	X	Money Laundering (Prevention) Bill currently before Parliament.		
13	involvement of non-State actors in a.m. activities	X	Money Laundering (Prevention) Bill currently before Parliament.		
14	Other			X	

OP 2 - Chemical Weapons (CW)

Saint Lucia

State: _____ Date of Report: _____ (date)

Does national legislation exist which prohibits persons or entities to engage in one of the following activities ? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties and others		Remarks
	YES	If YES, indicate source document of national implementation law	YES	if YES, indicate source document	
1 manufacture/produce	x	Section 26 of the Pesticides and Toxic Chemicals Control Act, Cap 11.15. Section 3 of the Firearms Act, Cap. 14.12. Section 26 of the Pesticides and Toxic Chemicals Control Act, Cap 11.15.	x	Section 64 of the Pesticides and Toxic Chemicals Control Act, Cap 11.15. Section 52 of the Firearms Act, Cap. 14.12.	St Lucia - Evaluation of Progress in Drug Control 2003-2004 www.who.int/fics/documents/la-tincarib/Carib-countryA3-2000.pdf
2 acquire	x			Section 64 of the Pesticides and Toxic Chemicals Control Act, Cap 11.15.	http://www.opcw.org/html/glob/all/ar/2/v/chapter_5.html
3 possess	x				St Lucia - Evaluation of Progress in Drug Control 2003-2004 www.who.int/fics/documents/la-tincarib/Carib-countryA3-2000.pdf
4 stockpile/store	x	Section 26 of the Pesticides and Toxic Chemicals Control Act, Cap 11.15.		Section 64 of the Pesticides and Toxic Chemicals Control Act, Cap 11.15.	
5 develop	x				
6 transport	x				

7	transfer	x	Section 26 of the Pesticides and Toxic Chemicals Control Act, Cap 11.15. Section 26 of the Pesticides and Toxic Chemicals Control Act, Cap 11.15.	Section 64 of the Pesticides and Toxic Chemicals Control Act, Cap 11.15.	http://www.opcw.org/html/qlobal/ar/2k/chapter_5.html
8	use	x		Section 64 of the Pesticides and Toxic Chemicals Control Act, Cap 11.15.	St Lucia - Evaluation of Progress in Drug Control 2003-2004 http://www.opcw.org/html/qlobal/ar/2k/chapter_5.html
9	participate as an accomplice in a.m. activities	x	Money Laundering (Prevention) Bill currently before Parliament		
10	assist in a.m. activities	x	Money Laundering (Prevention) Bill currently before Parliament		www.who.int/ifcs/documents/latincarb/Carib-countryA3-2000.pdf
11	finance a.m. activities	x	1. Anti-Terrorism Act 36 of 2006 2. Money Laundering (Prevention) (Amendment) Act covers Firearms offences as a predicate offence. 3. Financial Intelligence Unit created in 2003	1. Anti-Terrorism Act 36 of 2006 2. Money Laundering (Prevention) (Amendment) Act covers Firearms offences as a predicate offence. 3. Financial Intelligence Unit created in 2003	Copy of 2004 Money Laundering (Prevention) Act http://www.ciaif.org/
12	a.m. activities related to means of delivery				
13	involvement of non-State actors in a.m. activities	x	Pesticides and Toxic Chemicals Control Act Cap 11.15		http://www.opcw.org/html/qlobal/ar/2k/chapter_5.html
14	Other	X	Hosted a workshop for OECS States on implementing legislation for the CWC and participates in the CWC expert network	Criminal Code Cap 3:01 and the Shipping (Ship and Port Facility Security) regulations No. 46 of 2004 - Regulations 33 (7) (f) and 34 allows for specific measure to be implemented to prevent the use of weapons and dangerous substances.	http://www.opcw.org/html/qlobal/ar/2k/chapter_5.html

OP 2 - Nuclear Weapons (NW)

State: **Saint Lucia** (date)
 Date of Report:

Does national legislation exist which prohibits persons or entities to engage in one of the following activities? Can violators be penalized?	National legal framework		Enforcement: civil/criminal penalties and others		Remarks
	YES	If YES, indicate source document of national implementation law	YES	If YES, indicate source document	
1 manufacture/produce	X	as stipulated for chemical weapons and biological weapons	X	Firearms Act Cap 14.12 and as above	St Lucia - Evaluation of Progress in Drug Control 2003-2004
2 acquire					
3 possess	X	as above	X	Firearms Act Cap 14.12 and as above	St Lucia - Evaluation of Progress in Drug Control 2003-2004
4 stockpile/store	X	as above			
5 develop					
6 transport	X	as above			
7 transfer	X	as above			
8 use	X	as above	X	Firearms Act Cap 14.12 and as above	St Lucia - Evaluation of Progress in Drug Control 2003-2004
9 participate as an accomplice in a.m. activities	X	as above		as above	
10 assist in a.m. activities	X	as above		as above	
11 finance a.m. activities	X	as above	X	1. Anti-Terrorism Act 36 of 2006 2. Money Laundering (Prevention) (Amendment) Act covers Firearms offences as a predicate offence. 3. Financial Intelligence Unit created in 2003	Copy of 2004 Money Laundering (Prevention) (Amendment) Act http://www.cfatf.org/
12 a.m. activities related to means of delivery	X	as above		as above	

13	involvement of non-State actors in a.m. activities				
14	Other	X	as above	x	Regulations 33 (7) (i) and 34 stipulates the measures to be

OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials

Saint Lucia

{date}

State:
Date of Report:

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect BW and Related Materials? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties, and others		Remarks
	YES	if YES, indicate source document	YES	if YES, indicate source document	
1 Measures to account for production	X	Section 35 of Pesticides and Toxic Chemicals Control Act Cap 11.15	X	Firearms Act Cap 14.12	St Lucia - Evaluation of Progress in Drug Control 2003-2004
2 Measures to account for use	X	as above	X		
3 Measures to account for storage	X	as above	X		
4 Measures to account for transport	X	Section 18 of Pesticides and Toxic Chemicals Control Act Cap 11.15	X	Clause 3 of the Pesticides regulations makes provision for proepr packaging	
5 Other measures for accounting					
6 Measures to secure production	X	Sections 35,37 and 39 of Pesticides and Toxic Chemicals Control Act			
7 Measures to secure use	X	as above			
8 Measures to secure storage	X	as above			
9 Measures to secure transport					
10 Other measures for securing	X	Plant Protection Act Cap 7.12	X	1. St. Lucia Air and Sea Ports Authority (SLASPA) for ISPS Code compliance 2. Crop Protection and Quarantine Unit	Trade Policy Review OECS-WTO Members at http://www.wto.org/english/tratop_e/tptr_e/tp_rep_e.htm#bycco unity.
11 Regulations for physical protection of facilities/materials/ transports	X	Sections 42 and 44 of Pesticides and Toxic Chemicals Control Act Cap 11.15	X	Regulation 3 stipulates for appropriate measures to be taken for protection of users.	
12 Licensing/registration of facilities/persons handling biological materials	X	Regulation 3 and 8	X		
13 Reliability check of personnel	X	Allows for inspection under section 50 of the Pesticides Act.	X	Allows the entry of international inspectors.	

14	Measures to account for/secure/physically protect means of delivery	x	as above			
15	Regulations for genetic engineering work					
16	Other legislation/ regulations related to safety and security of biological materials					
17	Other	X	Participates in the discussions of the Biosecurity Protocol for the Convention on Biological Diversity (CBD)	X	All ports are ISPS Code compliant	http://www.wto.org/english/rtatop_e/tp_e/tp_rep_e.htm#bycountry

OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials

Saint Lucia

State: {date}
Date of Report:

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect CW and Related Materials? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties, and others	Remarks
	YES	If YES, indicate source document		
1 Measures to account for production	X		X	St Lucia - Evaluation of Progress in Drug Control 2003-2004 www.who.int/focs/documents/lafirecarb/Carib-countryA3-2000.pdf
2 Measures to account for use	X	Pesticides and Toxic Chemicals Control Act Cap 11. 15, as above	X	Firearms Act Cap 14.12
3 Measures to account for storage	X	as above		
4 Measures to account for transport	X	as above		
5 Other measures for accounting	X	as above		
6 Measures to secure production	X	as above		
7 Measures to secure use	X	as above		
8 Measures to secure storage	X	as above		
9 Measures to secure transport	X	as above		
10 Other measures for securing	X	as above	X	Trade Policy Review OECS-WTO Members at http://docsonline.wto.org
11 Regulations for physical protection of facilities/materials/ transports	X	as above		
12 Licensing of chemical installations/entities/use of materials	X	as above		

13	Reliability check of personnel	X	as above			
14	Measures to account for/secure/physically protect means of delivery	X	as above			
15	National CWC authority	X	The Permanent Secretary, Ministry of External Affairs, International Trade and Civil Aviation	X	The Permanent Secretary, Ministry of External Affairs, International Trade and Civil Aviation	http://www.opcw.org/docs/directory/section4.pdf
16	Reporting Schedule I, II and III chemicals to OPCW					
17	Account for, secure or physically protect old chemical weapons					
18	Other legislation/ regulations controlling chemical materials	X	Criminal Code Cap 3:01	X		
19	Other	X	1. Hosted a workshop for OECS States on implementing legislation for the CWC and participates in the CWC expert network 2. Plans to have a national chemical safety management program	X	All ports are ISPS Code compliant	http://www.wfo.org/english/transport_e/tp_e/tp_rep_e.htm#bycountry

OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials

Saint Lucia

State: **Saint Lucia** (date)
 Date of Report:

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW and Related Materials? Can violators be penalized?	National legal framework		Enforcement: civil/criminal penalties, and others		Remarks
	YES	If YES, indicate source document	YES	If YES, indicate source document	
1 Measures to account for production	X		X	Firearms Act Cap 14.12	St Lucia - Evaluation of Progress in Drug Control 2003-2004 (refers to Firearms Act)
2 Measures to account for use	X	Pesticides and Toxic Chemicals Act	X		http://www.iaea.org/OurWork/SV/Safeguards/sir_table.pdf
3 Measures to account for storage	X				
4 Measures to account for transport	X	as above			
5 Other measures for accounting	X	as above			
6 Measures to secure production	X	as above			
7 Measures to secure use	X	as above			
8 Measures to secure storage	X	as above			
9 Measures to secure transport	X	as above			
10 Other measures for securing	X	as above	X	St. Lucia Air and Sea Ports Authority (SLASPA) for ISPS Code compliance	Trade Policy Review OECS-WTO Members at http://www.wto.org/english/traop_e/tp_r_e/tp_rep_e.htm#byco untry.
11 Regulations for physical protection of facilities/materials/ transports	X	as above			
12 Licensing of nuclear installations/entities/use of materials	X	as above			
13 Reliability check of personnel	X	as above			
14 Measures to account for/secure/physically protect means of delivery	X	as above			
15 National regulatory authority	X	as above	X	as above	

16	IAEA Safeguards Agreements	X	Safeguards Agreement in force 02 February 1990	X	Safeguards Agreement in force 02 February 1990	http://www.iaea.org/OurWork/SV/Safeguards/slr_table.pdf
17	IAEA Code of Conduct on Safety and Security of Radioactive Sources					
18	IAEA Database on Illicit Trafficking of Nuclear Materials and other Radioactive Sources					
19	Other Agreements related to IAEA					
20	Additional national legislation/regulations related to nuclear materials including CPPNM					
21	Other			X	All ports are ISPS Code compliant	http://www.wto.org/english/tratop_e/for_e/tp_rep_e.htm#byco untry

**OP 3 (c) and (d) and related matters from OP 6 and OP 10 -
Controls of BW including Related Materials**

Saint Lucia

State: **Saint Lucia** (date)
Date of Report:

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of BW and Related Materials ? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties, and measures of implementation, etc	Remarks
	YES	if YES, indicate source document		
1 Border control	X	1. Customs (Management and Control) Act Cap 15.15, as amended 2. External Trade Act Cap 13.13 3. External Trade (Restricted Imports) Order, S.I. No. 31 of 1996 4. Plant Protection Act, Cap 7.12 5. Animals (Diseases and Importation) Act Cap 7.07	X	1. Customs (Management and Control) Act Cap 15.15, as amended 2. External Trade Act Cap 13.13 3. External Trade (Restricted Imports) Order, S.I. No. 31 of 1996 4. Plant Protection Act, Cap 7.12 5. Animals (Diseases and Importation) Act Cap 7.07 http://www.customs.gov.lc/in dex2.htm
2 Technical support of border control measures	X	as above	X	Trade Policy Review OECS-WTO Members at http://www.wto.org/english/tra op_e/tp_r_e/tp_rep_e.htm#byco untry
3 Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology			X	www.cicad.oas.org/MEM/eng /Reports/Progress_2003-2004/ST%20Lucia%20-%20ENG.pdf
4 Enforcement agencies/authorities				

5	Export control legislation in place	Plant Protection Act, Cap 7.12		1. Customs (Control and Management) Act, as amended, Sections 104-118 for offences 2. St. Lucia Customs & Excise Department 3. Crop Protection and Plant Quarantine Unit	Trade Policy Review OECS-WTO Members at http://www.wto.org/english/tratop_e/tp_rpt_rep_e.htm#bycountry
6	Licensing provisions				
7	Individual licensing				
8	General licensing				
9	Exceptions from licensing				
10	Licensing of deemed export/visa				
11	National licensing authority	1. Ministry of Trade, Industry, and Commerce for the External Trade Act, import licenses 2. Ministry of Agriculture, Forestry, and Fisheries, Plant Protection Act, No. 21 of 1988 3. Ministry of Agriculture, Forestry, and Fisheries, Animals (Diseases and Importation) Ordinance Chapter 41 4. Commissioner of Police, Firearms Act Cap 14.12 as amended by the Firearms Act of 2003	X	1. Crop Protection and Quarantine Unit 2. Veterinary Services Unit	http://www.customs.gov.lc/index2.htm Guidelines for Animal Importation at http://www.silumaffe.org/Veterinary_Conditions/veterinary_conditions.html
12	Interagency review for licenses				
13	Control lists				
14	Updating of lists				
15	Inclusion of technologies				
16	Inclusion of means of delivery				
17	End-user controls				
18	Catch all clause				
19	Intangible transfers				

20	Transit control	X	Transit has an export procedure under the Customs (Management and Control) Act Cap 15.15, External Trade Act Cap 13.11, and the External Trade (Restricted Imports) Order, S.I. No. 31 of 1996	X	1. Customs (Control and Management) Act, as amended, Sections 104-118 for offences 2. St. Lucia Customs & Excise Department 3. Crop Protection and Plant Quarantine Unit 4. Veterinary Services Unit	http://www.wto.org/english/tratop_e/ftp_rep_e.htm#byco untry http://www.customs.gov.lc/inde x2.htm
21	Trans-shipment control					
22	Re-export control	X	Re-exports have an export procedure	X	1. Customs (Control and Management) Act, as amended, Sections 104-118 for offences 2. St. Lucia Customs & Excise Department 3. Crop Protection and Plant Quarantine Unit 4. Veterinary Services Unit	http://www.wto.org/english/tratop_e/ftp_rep_e.htm#byco untry http://www.customs.gov.lc/inde x2.htm
23	Control of providing funds	X	Money Laundering (Prevention) Act, 2003	X	covers firearms trafficking and terrorism in the schedule of predicate offences, Section 18	Money Laundering (Prevention) Act 2003 at http://www.pinnaclestlucia.com/leg/pdf/money_laun.pdf
24	Control of providing transport services					
25	Control of importation	X	1. Customs (Management and Control) Act No. 23 of 1990 2. External Trade Act No. 5 of 1968 3. External Trade (Restricted Imports) Order, S.I. No. 31 of 1996 4. Plant Protection Act, No. 21 of 1988 5. Animals (Diseases and Importation) Ordinance Chapter 41 6. Firearms Act of 1995 as amended by the Firearms Act of 2003	X	1. Customs (Control and Management) Act, as amended, Sections 104-118 for offences 2. St. Lucia Customs & Excise Department 3. Crop Protection and Plant Quarantine Unit 4. Veterinary Services Unit	http://www.wto.org/english/tratop_e/ftp_rep_e.htm#byco untry http://www.customs.gov.lc/inde x2.htm
26	Extraterritorial applicability					

27	Other	X	<p>1. Importers and Exporters must register</p> <p>2. The External Trade Act No. 5 of 1968 was under review in 2007</p>	X	<p>All ports are ISPS Code compliant</p>	<p>http://www.wto.org/english/tratop_e/tp_e/tp_rep_e.htm#byco</p> <p>http://www.customs.gov.lc/index2.htm</p>
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**OP 3 (c) and (d) and related matters from OP 6 and OP 10 -
Controls of CW including Related Materials**

Saint Lucia

{date}

State:
Date of Report:

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of CW and Related Materials ? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties, and measures of implementation, etc		Remarks
	YES	if YES, indicate source document	YES	if YES, indicate source document	
1 Border control	X	1. Customs (Management and Control) Act No. 23 of 1990, as amended 2. External Trade Act No. 5 of 1968 3. External Trade (Restricted Imports) Order, S.I. No. 31 of 1996	X	1. Customs (Control and Management) Act, as amended, Sections 104-118 for offences 2. St. Lucia Customs & Excise Department 3. St. Lucia Ports Authority Police under the (SLASPA) Act Chapter 8.13 of the Revised Edition of the Laws of Saint Lucia, 2001	http://www.customs.gov.lc/in dex2.htm
2 Technical support of border control measures			X	Uses ASYCUDA++ electronic filing system	Trade Policy Review OECS-WTO Members at http://www.wto.org/english/traat op_e/tp_r e/tp_rep_e.htm#byco untry
3 Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology			?	Firearms Act No. 9 of 2003	<u>St Lucia - Evaluation of Progress in Drug Control 2003-2004</u>
4 Enforcement agencies/authorities					

http://www.opcw.org/html/global/ar/2k/chapter_5.html

5	Export control legislation in place	X	Pesticides and Toxic Chemicals Control Act of 2001	X	<p>1. Customs (Control and Management) Act, as amended, Sections 104-118 for offences</p> <p>2. St. Lucia Customs & Excise Department</p> <p>3. St. Lucia Ports Authority Police under the (SLASPA) Act Chapter 8.13 of the Revised Edition of the Laws of Saint Lucia, 2001</p>	http://www.opcw.org/html/global/ar/2k/chapter_5.html
6	Licensing provisions					
7	Individual licensing					
8	General licensing					
9	Exceptions from licensing					
10	Licensing of deemed export/visa					
11	National licensing authority	X	<p>1. Ministry of Trade, Industry, and Commerce for the External Trade Act, import licenses</p> <p>2. Pesticides Control Board, Pesticides and Toxic Chemicals Control Act 2001</p>	X	<p>1. Customs (Control and Management) Act, as amended, Sections 104-118 for offences</p> <p>2. St. Lucia Customs & Excise Department</p> <p>3. St. Lucia Ports Authority Police under the (SLASPA) Act Chapter 8.13 of the Revised Edition of the Laws of Saint Lucia, 2001</p>	<p>Trade Policy Review OECS-WTO Members</p> <p>http://www.customs.gov.lc/in dex2.htm</p>
12	Interagency review for licenses					
13	Control lists					
14	Updating of lists					
15	Inclusion of technologies					
16	Inclusion of means of delivery					
17	End-user controls					
18	Catch all clause					

19	Intangible transfers					http://www.wto.org/english/traop_e/tp_e/tp_rep_e.htm#byco untry
20	Transit control	X	Transit has an export procedure under the Customs (Management and Control) Act No. 23 of 1990, External Trade Act No. 5 of 1968, and the External Trade (Restricted Imports) Order, S.I. No. 31 of 1996	X	1. Customs (Control and Management) Act, as amended, Sections 104-118 for offences 2. St. Lucia Customs & Excise Department	http://www.customs.gov.lc/index2.htm
21	Trans-shipment control					
22	Re-export control	X	Re-exports have an export procedure	X	1. Customs (Control and Management) Act, as amended, Sections 104-118 for offences 2. St. Lucia Customs & Excise Department	http://www.customs.gov.lc/index2.htm
23	Control of providing funds					
24	Control of providing transport services					
25	Control of importation	X	Pesticides and Toxic Chemicals Control Act of 2001	X	1. Customs (Control and Management) Act, as amended, Sections 104-118 for offences 2. St. Lucia Customs & Excise Department	http://www.wto.org/english/traop_e/tp_e/tp_rep_e.htm#byco untry http://www.customs.gov.lc/index2.htm http://www.opcw.org/html/global/ar/2k/chapter_5.html
26	Extraterritorial applicability					
27	Other	X	1. Importers and Exporters must register 2. The External Trade Act No. 5 of 1968 was under review in 2007	X	All ports are ISPS Code compliant	http://www.wto.org/english/traop_e/tp_e/tp_rep_e.htm#byco untry http://www.customs.gov.lc/index2.htm

**OP 3 (c) and (d) and related matters from OP 6, and OP 10 -
Controls of NW including Related Materials**

Saint Lucia

State: Saint Lucia
Date of Report: {date}

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of NW and Related Materials ? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties, and measures of implementation, etc		Remarks
	YES	if YES, indicate source document	YES	if YES, indicate source document	
1 Border control	X	1. Customs (Management and Control) Act No. 23 of 1990, as amended 2. External Trade Act No. 5 of 1968 3. External Trade (Restricted Imports) Order, S.I. No. 31 of 1996	X	1. Customs (Control and Management) Act, as amended, Sections 104-118 for offences 2. St. Lucia Customs & Excise Department 3. St. Lucia Ports Authority Police under the (SLASPA) Act Chapter 8.13 of the Revised Edition of the Laws of Saint Lucia, 2001	http://www.customs.gov.lc/in dex2.htm
2 Technical support of border control measures			X	Uses ASYCUDA++ electronic filing system	Trade Policy Review OECS-WTO Members at http://www.wto.org/english/trat op_e/tp_r_e/to_rep_e.htm#byco untry
3 Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology				Firearms Act No. 9 of 2003	<u>St Lucia - Evaluation of Progress in Drug Control 2003-2004</u>
4 Enforcement agencies/authorities					
5 Export control legislation in place					
6 Licensing provisions					
7 Individual licensing					
8 General licensing					
9 Exceptions from licensing					

10	Licensing of deemed export/visa					Trade Policy Review OECS- WTO Members http://www.customs.gov.lc/in dex2.htm
11	National licensing authority	?	Ministry of Trade, Industry, and Commerce for the External Trade Act, import licenses	?	1. Customs (Control and Management) Act, as amended, Sections 104-118 for offences 2. St. Lucia Customs & Excise Department 3. St. Lucia Ports Authority Police under the (SLASPA) Act Chapter 8.13 of the Revised Edition of the Laws of Saint Lucia, 2001	http://www.customs.gov.lc/in dex2.htm
12	Interagency review for licenses					
13	Control lists					
14	Updating of lists					
15	Inclusion of technologies					
16	Inclusion of means of delivery					
17	End-user controls					
18	Catch all clause					
19	Intangible transfers					
20	Transit control	?	Transit has an export procedure under the Customs (Management and Control) Act No. 23 of 1990, External Trade Act No. 5 of 1968, and the External Trade (Restricted Imports) Order, S.I. No. 31 of 1996	?	1. Customs (Control and Management) Act, as amended, Sections 104-118 for offences 2. St. Lucia Customs & Excise Department	http://www.wto.org/english/tra t op_e/tp_r_e/tp_rep_e.htm#byco untry http://www.customs.gov.lc/inde x2.htm
21	Trans-shipment control					
22	Re-export control	?	Re-exports have an export procedure	?	1. Customs (Control and Management) Act, as amended, Sections 104-118 for offences 2. St. Lucia Customs & Excise Department	http://www.wto.org/english/tra t op_e/tp_r_e/tp_rep_e.htm#byco untry http://www.customs.gov.lc/inde x2.htm

23	Control of providing funds					
24	Control of providing transport services					http://www.wto.org/english/tratop_e/tp_rep_e.htm#bycountry http://www.customs.gov.lc/index2.htm http://www.opcw.org/html/global/all/ar/2k/chapter_5.html
25	Control of importation	?	Pesticides and Toxic Chemicals Control Act of 2001	?	1. Customs (Control and Management) Act, as amended, Sections 104-118 for offences 2. St. Lucia Customs & Excise Department	
26	Extraterritorial applicability					WTO Trade Policy Review Report http://www.customs.gov.lc/index2.htm
27	Other	X	1. Importers and Exporters must register 2. The External Trade Act No. 5 of 1988 was under review in 2007	X	All ports are ISPS Code compliant	

OP 6, 7 and 8 (d) - Control lists, Assistance, Information

State:

Date of Report:

Saint Lucia

{date}

Can information be provided on the following issues ?		YES	Remarks
1	Control lists - items (goods/ equipment/ materials/ technologies)		
2	Control lists - other		
3	Assistance offered		
4	Assistance requested		
5	Assistance in place (bilateral/plurilateral/multilateral)	X	WCO Columbus programme on capacity building: phase 2 - Implementation, started http://www.wcoomd.org/MemberMap/WorldMap.asp
6	Information for industry		
7	Information for the public		